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|---|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><small>(Use this form for all correspondence after initial filing)</small> | Application Number   | 10/028,045             |             |
|   | Filing Date          | December 20, 2001      |             |
|   | First Named Inventor | Toshiyuki ODAKA        |             |
|   | Art Unit             | 2191                   |             |
|   | Examiner Name        | Qamrun Nahar           |             |
| Total Number of Pages in This Submission  | 12                   | Attorney Docket Number | 36992.00120 |

**ENCLOSURES (check all that apply)**

|  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Return Postcard<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> Amendment After Final<br><input type="checkbox"/> Declaration of Inventor(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Request for Continued Examination<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> PTO SB/08a<br><input type="checkbox"/> PTO SB/08b<br><input type="checkbox"/> Issue Fee Transmittal (PTO-85b)<br><input type="checkbox"/> New Power of Attorney, Revocation of Previous Powers, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> Power of Attorney<br><input type="checkbox"/> Affidavit | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Status Request<br><input checked="" type="checkbox"/> The Director is authorized to charge any required fees or credit any overpayment to Deposit Acct. No. 05-0150. A duplicate of this sheet is enclosed for this purpose.<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Reply to Notice Mailed August 25, 2005<br>Copy of Notice Mailed August 25, 2005 |
| <b>Remarks</b>   |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |  |          |        |
|--------------|--|----------|--------|
| Firm         | Squire, Sanders & Dempsey L.L.P.<br>600 Hansen Way, Palo Alto, CA 94304-1043 |          |        |
| Signature    |  |          |        |
| Printed Name | Vidya R. Bhakar  |          |        |
| Date         | September 7, 2005  | Reg. No. | 42,323 |

**CERTIFICATE OF TRANSMISSION/MAILING**

|  |                |      |                   |
|--|----------------|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                |      |                   |
| Signature  |                |      |                   |
| Typed or printed name  | Anes Francetic | Date | September 7, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

F: 36992.00120

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| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/028,045  | 12/20/2001  | Toshiyuki Odaka      | HAL 177             | 3000             |
| 39170   | 7590        | 08/25/2005           | EXAMINER            |                  |
| HITACHI AMERICA, LTD.<br>50 PROSPECT AVENUE<br>LEGAL DEPT.<br>TARRYTOWN, NY 10591 |             |                      | NAHAR, QAMRUN       |                  |
|   |             |                      | ART UNIT            | PAPER NUMBER     |
|   |             |                      | 2191                |                  |

DATE MAILED: 08/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notice of Non-Compliant  
Amendment (37 CFR 1.121)**

Application No.

10/028,045

Examiner

Qamrun Nahar

Applicant(s)

ODAKA ET AL.

Art Unit

2191

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 02 June 2005 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☒ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☒ C. Other See Continuation Sheet.
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_.
- ☐ 3. Amendments to the drawings:
  - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other \_\_\_\_\_.
- ☐ 4. Amendments to the claims:
  - ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_.

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

**TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted within the time period set forth in the final Office action.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

**WEI Y. ZHEN  
PRIMARY EXAMINER**

Continuation of 1(c) Other: The replacement paragraph for page 12, lines 7-9 contains the text "distributed" on line 2 of the paragraph, which was not present in the original paragraph. If the applicant intends to add the text "distributed", then the text "distributed" must be underlined. Furthermore, the replacement paragraph for page 9, starting at line 14 has the text "Please add space in between paragraphs. Please add indentation at beginning of this paragraph", where the text is being added to the paragraph. However, it appears that the applicant intends to have two separate paragraphs. If the applicant intends to do that, then Delete the original paragraph and Add the two new paragraphs. Otherwise, the text "Please add space in between paragraphs. Please add indentation at beginning of this paragraph" is being added to the paragraph. See 37 CFR 1.121(b)(1).